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INTERNATIONAL STANDARD

colour inside

Medical electrical equipment –
Part 2-16: Particular requirements for the basic safety and essential performance of haemodialysis, haemodiafiltration and haemofiltration equipment

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

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MEDICAL ELECTRICAL EQUIPMENT –

Part 2-16: Particular requirements for the basic safety and essential performance of haemodialysis, haemodiafiltration and haemofiltration equipment

FOREWORD

1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as “IEC Publication(s)”). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
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8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
9) IEC draws attention to the possibility that the implementation of this document may involve the use of (a) patent(s). IEC takes no position concerning the evidence, validity or applicability of any claimed patent rights in respect thereof. As of the date of publication of this document, IEC had not received notice of (a) patent(s), which may be required to implement this document. However, implementers are cautioned that this may not represent the latest information, which may be obtained from the patent database available at https://patents.iec.ch. IEC shall not be held responsible for identifying any or all such patent rights.

This redline version of the official IEC Standard allows the user to identify the changes made to the previous edition IEC 60601-2-16:2018. A vertical bar appears in the margin wherever a change has been made. Additions are in green text, deletions are in strikethrough red text.

IEC 60601-2-16 has been prepared by subcommittee 62D: Particular medical equipment, software, and systems, of IEC technical committee 62: Medical equipment, software, and systems. It is an International Standard.

This sixth edition cancels and replaces the fifth edition published in 2018. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

a) update of references to IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020, of references to IEC 60601-1-2:2014 and IEC 60601-1-2:2014/AMD1:2020, of references to IEC 60601-1-8:2006, IEC 60601-1-8:2006/AMD1:2012 and IEC 60601-1-8:2006/AMD2:2020, of references to IEC 60601-1-9:2007, IEC 60601-1-9:2007/AMD1:2013 and IEC 60601-1-9:2007/AMD2:2020, of references to IEC 60601-1-10:2007, IEC 60601-1-10:2007/AMD1:2013 and IEC 60601-1-10:2007/AMD2:2020 and of references to IEC 60601-1-11:2015 and IEC 60601-1-11:2015/AMD1:2020;
b) consideration of ESSENTIAL PERFORMANCE in SINGLE FAULT CONDITION regarding IEC 60601-1:2005/AMD1:2012/ISH1:2021;
c) including the information given in the document 62D/1771A/INF regarding 201.11.8;
d) including withdrawn IEC PAS 63023[17] as Annex CC;
e) including SECURITY (CYBERSECURITY) requirements;
f) consideration of HAEMODIALYSIS EQUIPMENT using pre-manufactured DIALYSIS FLUID bags;
g) improvements for labelling;
h) other minor technical improvements;
i) editorial improvements.

The text of this International Standard is based on the following documents:

Draft	Report on voting
62D/2163/FDIS	62D/2184/RVD

Full information on the voting for its approval can be found in the report on voting indicated in the above table.

The language used for the development of this International Standard is English.

This document was drafted in accordance with ISO/IEC Directives, Part 2, and developed in accordance with ISO/IEC Directives, Part 1 and ISO/IEC Directives, IEC Supplement, available at www.iec.ch/members_experts/refdocs. The main document types developed by IEC are described in greater detail at www.iec.ch/publications.

In this document, the following print types are used:

– requirements and definitions: roman type;
– *test specifications: italic type;*
– informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type;
– TERMS DEFINED IN CLAUSE 3 OF IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 AND IEC 60601-1:2005/AMD2:2020, IN THIS DOCUMENT OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this document, the term

– "clause" means one of the seventeen numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 7 includes Subclauses 7.1, 7.2, etc.);

– "subclause" means a numbered subdivision of a clause (e.g. 7.1, 7.2 and 7.2.1 are all subclauses of Clause 7).

References to clauses within this document are preceded by the term "Clause" followed by the clause number. References to subclauses within this document are by number only.

In this document, the conjunctive "or" is used as an "inclusive or" so a statement is true if any combination of the conditions is true.

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– "shall" means that compliance with a requirement or a test is mandatory for compliance with this document;

– "should" means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this document;

– "may" is used to describe a permissible way to achieve compliance with a requirement or test.

An asterisk (*) as the first character of a title or at the beginning of a paragraph or table title indicates that there is guidance or rationale related to that item in Annex AA.

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- reconfirmed,
- withdrawn, or
- revised.

NOTE The attention of the users of this document is drawn to the fact that equipment manufacturers and testing organizations may need a transitional period following publication of a new, amended or revised IEC publication in which to make products in accordance with the new requirements and to equip themselves for conducting new or revised tests. It is the recommendation of the committees that the content of this publication be adopted for implementation nationally not earlier than 3 years from the date of publication.

IMPORTANT – The "colour inside" logo on the cover page of this document indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

The minimum safety requirements specified in this document are considered to provide for a practical degree of safety in the operation of HAEMODIALYSIS, HAEMODIAFILTRATION and HAEMOFILTRATION EQUIPMENT.

MEDICAL ELECTRICAL EQUIPMENT –

Part 2-16: Particular requirements for the basic safety and essential performance of haemodialysis, haemodiafiltration and haemofiltration equipment

201.1 Scope, object and related standards

Clause 1 of ~~the general standard~~[1] IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/ AMD2:2020 applies, except as follows:

201.1.1 * Scope

Replacement:

This part of IEC 60601 applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of HAEMODIALYSIS, HAEMODIAFILTRATION and HAEMOFILTRATION EQUIPMENT, hereafter referred to as HAEMODIALYSIS EQUIPMENT. It applies to HAEMODIALYSIS EQUIPMENT intended for use either by medical staff or under the supervision of medical experts, including HAEMODIALYSIS EQUIPMENT operated by the PATIENT, regardless of whether the HAEMODIALYSIS EQUIPMENT is used in a hospital or domestic environment.

If a clause or subclause is specifically intended to be applicable to ME EQUIPMENT only, or to ME SYSTEMS only, the title and content of that clause or subclause will say so. If that is not the case, the clause or subclause applies both to ME EQUIPMENT and to ME SYSTEMS, as relevant.

This document does not take into consideration specific safety details of the DIALYSIS FLUID control system of HAEMODIALYSIS EQUIPMENT using regeneration of DIALYSIS FLUID or CENTRAL DELIVERY SYSTEMS for DIALYSIS FLUID. It does, however, take into consideration the specific safety requirements of such HAEMODIALYSIS EQUIPMENT concerning electrical safety and PATIENT safety.

This document specifies the minimum safety requirements for HAEMODIALYSIS EQUIPMENT. These HAEMODIALYSIS EQUIPMENT are intended for use either by medical staff or for use by the PATIENT or other trained personnel under medical supervision.

This document includes all ME EQUIPMENT that is intended to deliver a HAEMODIALYSIS, HAEMODIAFILTRATION and HAEMOFILTRATION treatment to a PATIENT, independent of the treatment duration and location.

If applicable, this document applies to the relevant parts of ME EQUIPMENT intended for other extracorporeal blood purification treatments.

The particular requirements in this document do not apply to:

– EXTRACORPOREAL CIRCUITS (see ISO 8637-2 [1][2]),

– DIALYSERS (see ISO 8637-1 [2]),

– DIALYSIS FLUID CONCENTRATES (see ISO 23500-4 [3]),

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[1] ~~The general standard is IEC 60601-1:2005 and IEC 60601-1:2005/AMD1:2012, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*.~~

[2] Numbers in square brackets refer to the Bibliography.

– pre-manufactured DIALYSIS FLUID bags,
– DIALYSIS WATER supply systems (see ISO 23500-2 [4]),
– CENTRAL DELIVERY SYSTEMS for DIALYSIS FLUID CONCENTRATES (see ISO 23500-4 [3]), described as systems for bulk mixing concentrate at a dialysis facility,
– equipment used to perform PERITONEAL DIALYSIS (see IEC 60601-2-39 [5]).

201.1.2 Object

Replacement:

The object of this document is to establish BASIC SAFETY and ESSENTIAL PERFORMANCE requirements for HAEMODIALYSIS EQUIPMENT.

201.1.3 Collateral standards

Addition:

This document refers to those applicable collateral standards that are listed in Clause 2 of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 and Clause 201.2 of this document.

IEC 60601-1-2:2014 and IEC 60601-1-2:2014/AMD1:2020, IEC 60601-1-8:2006, IEC 60601-1-8:2006/AMD1:2012 and IEC 60601-1-8:2006/AMD2:2020, IEC 60601-1-10:2007, IEC 60601-1-10:2007/AMD1:2013 and IEC 60601-1-10:2007/AMD2:2020, IEC 60601-1-11:2015 and IEC 60601-1-11:2015/AMD1:2020 apply as modified in Clauses 202, 208, 210 and 211.

IEC 60601-1-3~~ and IEC 60601-1-12~~ does not apply. IEC 60601-1-9:2007, IEC 60601-1-9:2007/AMD1:2013 and IEC 60601-1-9:2007/AMD2:2020 does not apply as noted in Clause 209.

All other published collateral standards in the IEC 60601-1 series apply as published.

201.1.4 Particular standards

Replacement:

In the IEC 60601 series, particular standards may modify, replace or delete requirements contained in IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 and collateral standards as appropriate for the particular ME EQUIPMENT under consideration, and may add other BASIC SAFETY and ESSENTIAL PERFORMANCE requirements.

A requirement of a particular standard takes priority over IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020.

~~For brevity, IEC 60601-1:2005 and IEC 60601-1:2005/AMD1:2012 are referred to in this particular standard as the general standard. Collateral standards are referred to by their document number.~~

The numbering of clauses and subclauses of this document corresponds to that of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 with the prefix "201" (e.g. 201.1 in this document addresses the content of Clause 1 of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020) or applicable collateral standard with the prefix "20x" where x is the final digit(s) of the collateral standard document number (e.g. 202.4 in this document addresses the content of Clause 4 of the IEC 60601-1-2 collateral standard, 203.4 in this document addresses the content of Clause 4 of the IEC 60601-1-3 collateral standard, etc.). The changes to the text of

IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 are specified by the use of the following words:

"*Replacement*" means that the clause or subclause of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 or applicable collateral standard is replaced completely by the text of this document.

"*Addition*" means that the text of this document is additional to the requirements of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 or applicable collateral standard.

"*Amendment*" means that the clause or subclause of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 or applicable collateral standard is amended as indicated by the text of this document.

Subclauses, figures or tables which are additional to those of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 are numbered starting from 201.101. However, due to the fact that definitions in IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 are numbered 3.1 through 3.~~147~~154, additional definitions in this document are numbered beginning from 201.3.201. Additional annexes are lettered AA, BB, etc., and additional items aa), bb), etc.

Subclauses, figures or tables which are additional to those of a collateral standard are numbered starting from 20x, where "x" is the number of the collateral standard, for example 202 for IEC 60601-1-2, 203 for IEC 60601-1-3, etc.

The term "this document" is used to make reference to IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020, any applicable collateral standards and this particular standard taken together.

Where there is no corresponding clause or subclause in this document, the clause or subclause of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 or applicable collateral standard, although possibly not relevant, applies without modification; where it is intended that any part of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 or applicable collateral standard, although possibly relevant, is not to be applied, a statement to that effect is given in this document.

201.2 Normative references

NOTE Informative references are listed in the Bibliography.

Clause 2 of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 applies, except as follows:

~~*Replacement:*~~

~~*IEC 60601-1-2:2014, Medical electrical equipment – Part 1-2: General requirements for basic safety and essential performance – Collateral Standard: Electromagnetic disturbances – Requirements and tests*~~

~~*IEC 60601-1-6:2010, Medical electrical equipment – Part 1-6: General requirements for basic safety and essential performance – Collateral standard: Usability*~~
~~*IEC 60601-1-6:2010/AMD1:2013*~~

~~*IEC 60601-1-8:2006, Medical electrical equipment – Part 1-8: General requirements for basic safety and essential performance – Collateral Standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems*~~
~~*IEC 60601-1-8:2006/AMD1:2012*~~

Addition:

IEC 60601-1:2005, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*
IEC 60601-1:2005/AMD1:2012
IEC 60601-1:2005/AMD2:2020

IEC 60601-1-10:2007, *Medical electrical equipment – Part 1-10: General requirements for basic safety and essential performance – Collateral Standard: Requirements for the development of physiologic closed-loop controllers*
IEC 60601-1-10:2007/AMD1:2013
IEC 60601-1-10:2007/AMD2:2020

IEC 60601-1-11:2015, *Medical electrical equipment – Part 1-11: General requirements for basic safety and essential performance – Collateral Standard: Requirements for medical electrical equipment and medical electrical systems used in the home healthcare environment*
IEC 60601-1-11:2015/AMD1:2020

IEC 61672-1:2013, *Electroacoustics – Sound level meters – Part 1: Specifications*

ISO 3744:2010, *Acoustics – Determination of sound power levels and sound energy levels of noise sources using sound pressure – Engineering methods for an essentially free field over a reflecting plane*

ISO 23500-3:2024*, Preparation and quality management of fluids for haemodialysis and related therapies – Part 3: Water for haemodialysis and related therapies*

IEC 60601-2-16

Edition 6.0 2025-01

INTERNATIONAL STANDARD

NORME INTERNATIONALE

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Medical electrical equipment –
Part 2-16: Particular requirements for the basic safety and essential performance of haemodialysis, haemodiafiltration and haemofiltration equipment

Appareils électromédicaux –
Partie 2-16 : Exigences particulières pour la sécurité de base et les performances essentielles des appareils d'hémodialyse, d'hémodiafiltration et d'hémofiltration

IEC 60601-2-16:2025-01 (en-fr)

CONTENTS

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INTRODUCTION

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MEDICAL ELECTRICAL EQUIPMENT –

Part 2-16: Particular requirements for the basic safety and essential performance of haemodialysis, haemodiafiltration and haemofiltration equipment

201.1 Scope, object and related standards

Clause 1 of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 applies, except as follows:

201.1.1 * Scope

Replacement:

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– EXTRACORPOREAL CIRCUITS (see ISO 8637-2 [1][1]),
– DIALYSERS (see ISO 8637-1 [2]),
– DIALYSIS FLUID CONCENTRATES (see ISO 23500-4 [3]),
– pre-manufactured DIALYSIS FLUID bags,
– DIALYSIS WATER supply systems (see ISO 23500-2 [4]),

1 Numbers in square brackets refer to the Bibliography.

– CENTRAL DELIVERY SYSTEMS for DIALYSIS FLUID CONCENTRATES (see ISO 23500-4 [3]), described as systems for bulk mixing concentrate at a dialysis facility,

– equipment used to perform PERITONEAL DIALYSIS (see IEC 60601-2-39 [5]).

201.1.2 Object

Replacement:

The object of this document is to establish BASIC SAFETY and ESSENTIAL PERFORMANCE requirements for HAEMODIALYSIS EQUIPMENT.

201.1.3 Collateral standards

Addition:

This document refers to those applicable collateral standards that are listed in Clause 2 of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 and Clause 201.2 of this document.

IEC 60601-1-2:2014 and IEC 60601-1-2:2014/AMD1:2020, IEC 60601-1-8:2006, IEC 60601-1-8:2006/AMD1:2012 and IEC 60601-1-8:2006/AMD2:2020, IEC 60601-1-10:2007, IEC 60601-1-10:2007/AMD1:2013 and IEC 60601-1-10:2007/AMD2:2020, IEC 60601-1-11:2015 and IEC 60601-1-11:2015/AMD1:2020 apply as modified in Clauses 202, 208, 210 and 211.

IEC 60601-1-3 does not apply. IEC 60601-1-9:2007, IEC 60601-1-9:2007/AMD1:2013 and IEC 60601-1-9:2007/AMD2:2020 does not apply as noted in Clause 209.

All other published collateral standards in the IEC 60601-1 series apply as published.

201.1.4 Particular standards

Replacement:

In the IEC 60601 series, particular standards may modify, replace or delete requirements contained in IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 and collateral standards as appropriate for the particular ME EQUIPMENT under consideration, and may add other BASIC SAFETY and ESSENTIAL PERFORMANCE requirements.

A requirement of a particular standard takes priority over IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020.

The numbering of clauses and subclauses of this document corresponds to that of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 with the prefix "201" (e.g. 201.1 in this document addresses the content of Clause 1 of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020) or applicable collateral standard with the prefix "20x" where x is the final digit(s) of the collateral standard document number (e.g. 202.4 in this document addresses the content of Clause 4 of the IEC 60601-1-2 collateral standard, 203.4 in this document addresses the content of Clause 4 of the IEC 60601-1-3 collateral standard, etc.). The changes to the text of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 are specified by the use of the following words:

"*Replacement*" means that the clause or subclause of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 or applicable collateral standard is replaced completely by the text of this document.

"*Addition*" means that the text of this document is additional to the requirements of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 or applicable collateral standard.

"*Amendment*" means that the clause or subclause of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 or applicable collateral standard is amended as indicated by the text of this document.

Subclauses, figures or tables which are additional to those of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 are numbered starting from 201.101. However, due to the fact that definitions in IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 are numbered 3.1 through 3.154, additional definitions in this document are numbered beginning from 201.3.201. Additional annexes are lettered AA, BB, etc., and additional items aa), bb), etc.

Subclauses, figures or tables which are additional to those of a collateral standard are numbered starting from 20x, where "x" is the number of the collateral standard, for example 202 for IEC 60601-1-2, 203 for IEC 60601-1-3, etc.

The term "this document" is used to make reference to IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020, any applicable collateral standards and this particular standard taken together.

Where there is no corresponding clause or subclause in this document, the clause or subclause of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 or applicable collateral standard, although possibly not relevant, applies without modification; where it is intended that any part of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 or applicable collateral standard, although possibly relevant, is not to be applied, a statement to that effect is given in this document.

201.2 Normative references

NOTE Informative references are listed in the Bibliography.

Clause 2 of IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012 and IEC 60601-1:2005/AMD2:2020 applies, except as follows:

Addition:

IEC 60601-1:2005, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*
IEC 60601-1:2005/AMD1:2012
IEC 60601-1:2005/AMD2:2020

IEC 60601-1-10:2007, *Medical electrical equipment – Part 1-10: General requirements for basic safety and essential performance – Collateral Standard: Requirements for the development of physiologic closed-loop controllers*
IEC 60601-1-10:2007/AMD1:2013
IEC 60601-1-10:2007/AMD2:2020

IEC 60601-1-11:2015, *Medical electrical equipment – Part 1-11: General requirements for basic safety and essential performance – Collateral Standard: Requirements for medical electrical equipment and medical electrical systems used in the home healthcare environment*
IEC 60601-1-11:2015/AMD1:2020

IEC 61672-1:2013, *Electroacoustics – Sound level meters – Part 1: Specifications*

ISO 3744:2010, *Acoustics – Determination of sound power levels and sound energy levels of noise sources using sound pressure – Engineering methods for an essentially free field over a reflecting plane*

ISO 23500-3:2024*, Preparation and quality management of fluids for haemodialysis and related therapies – Part 3: Water for haemodialysis and related therapies*

SOMMAIRE

COMMISSION ÉLECTROTECHNIQUE INTERNATIONALE

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APPAREILS ÉLECTROMÉDICAUX –

Partie 2-16: Exigences particulières pour la sécurité de base et les performances essentielles des appareils d'hémodialyse, d'hémodiafiltration et d'hémofiltration

AVANT-PROPOS

1) La Commission Électrotechnique Internationale (IEC) est une organisation mondiale de normalisation composée de l'ensemble des comités électrotechniques nationaux (Comités nationaux de l'IEC). L'IEC a pour objet de favoriser la coopération internationale pour toutes les questions de normalisation dans les domaines de l'électricité et de l'électronique. À cet effet, l'IEC – entre autres activités – publie des Normes internationales, des Spécifications techniques, des Rapports techniques, des Spécifications accessibles au public (PAS) et des Guides (ci-après dénommés "Publication(s) de l'IEC"). Leur élaboration est confiée à des comités d'études, aux travaux desquels tout Comité national intéressé par le sujet traité peut participer. Les organisations internationales, gouvernementales et non gouvernementales, en liaison avec l'IEC, participent également aux travaux. L'IEC collabore étroitement avec l'Organisation Internationale de Normalisation (ISO), selon des conditions fixées par accord entre les deux organisations.
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8) L'attention est attirée sur les références normatives citées dans cette publication. L'utilisation de publications référencées est obligatoire pour une application correcte de la présente publication.
9) L'IEC attire l'attention sur le fait que la mise en application du présent document peut entraîner l'utilisation d'un ou de plusieurs brevets. L'IEC ne prend pas position quant à la preuve, à la validité et à l'applicabilité de tout droit de brevet revendiqué à cet égard. À la date de publication du présent document, l'IEC n'avait pas reçu notification qu'un ou plusieurs brevets pouvaient être nécessaires à sa mise en application. Toutefois, il y a lieu d'avertir les responsables de la mise en application du présent document que des informations plus récentes sont susceptibles de figurer dans la base de données de brevets, disponible à l'adresse https://patents.iec.ch. L'IEC ne saurait être tenue pour responsable de ne pas avoir identifié de tels droits de brevets.

L'IEC 60601-2-16 a été établie par le sous-comité 62D: Équipements, logiciels et systèmes médicaux particuliers, du comité d'études 62 de l'IEC: Équipement médical, logiciels et systèmes médicaux. Il s'agit d'une Norme internationale.

Cette sixième édition annule et remplace la cinquième édition parue en 2018. Cette édition constitue une révision technique.

Cette édition inclut les modifications techniques majeures suivantes par rapport à l'édition précédente:

a) mise à jour des références à l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020, des références à l'IEC 60601-1-2:2014 et l'IEC 60601-1-2:2014/AMD1:2020, des références à l'IEC 60601-1-8:2006, l'IEC 60601-1-8:2006/AMD1:2012 et l'IEC 60601-1-8:2006/AMD2:2020, des références à l'IEC 60601-1-9:2007, l'IEC 60601-1-9:2007/AMD1:2013 et l'IEC 60601-1-9:2007/AMD2:2020, des références à l'IEC 60601-1-10:2007, l'IEC 60601-1-10:2007/AMD1:2013 et l'IEC 60601-1-10:2007/AMD2:2020 et des références à l'IEC 60601-1-11:2015 et l'IEC 60601-1-11:2015/AMD1:2020;

b) prise en considération des PERFORMANCES ESSENTIELLES en CONDITION DE PREMIER DEFAUT concernant l'IEC 60601-1:2005/AMD1:2012/ISH1:2021;

c) inclusion des informations données dans le document 62D/1771A/INF concernant le 201.11.8;

d) inclusion de l'IEC PAS 63023[17], supprimée, en tant qu'Annexe CC;

e) inclusion des exigences de SECURITE (CYBERSECURITE);

f) prise en considération des APPAREILS D'HEMODIALYSE qui utilisent des sacs pour LIQUIDE DE DIALYSE préproduit;

g) améliorations en matière d'étiquetage;

h) autres améliorations techniques mineures;

i) améliorations d'ordre rédactionnel.

Le texte de cette Norme internationale est issu des documents suivants:

Projet	Rapport de vote
62D/2163/FDIS	62D/2184/RVD

Le rapport de vote indiqué dans le tableau ci-dessus donne toute information sur le vote ayant abouti à son approbation.

La langue employée pour l'élaboration de cette Norme internationale est l'anglais.

Ce document a été rédigé selon les Directives ISO/IEC, Partie 2, il a été développé selon les Directives ISO/IEC, Partie 1 et les Directives ISO/IEC, Supplément IEC, disponibles sous www.iec.ch/members_experts/refdocs. Les principaux types de documents développés par l'IEC sont décrits plus en détail sous www.iec.ch/publications.

Dans le présent document, les caractères d'imprimerie suivants sont utilisés:

– exigences et définitions: caractères romains;

– *modalités d'essais: caractères italiques;*

– indications de nature informative qui apparaissent hors des tableaux, comme les notes, les exemples et les références: petits caractères. Le texte normatif à l'intérieur des tableaux est également en petits caractères;

– TERMES DEFINIS A L'ARTICLE 3 DE L'IEC 60601-1:2005, L'IEC 60601-1:2005/AMD1:2012 ET L'IEC 60601-1:2005/AMD2:2020, DANS LE PRESENT DOCUMENT OU COMME CELA EST NOTE: PETITES MAJUSCULES.

Concernant la structure du présent document, le terme:

– "article" désigne l'une des dix-sept sections numérotées dans la table des matières, avec toutes ses subdivisions (par exemple, l'Article 7 inclut les paragraphes 7.1, 7.2, etc.);

– "paragraphe" désigne une subdivision numérotée d'un article (par exemple, le 7.1, le 7.2 et le 7.2.1 sont tous des paragraphes de l'Article 7).

Dans le présent document, les références à des articles sont précédées du mot "Article" suivi du numéro de l'article concerné. Dans le présent document, les références aux paragraphes utilisent uniquement le numéro du paragraphe concerné.

Dans le présent document, la conjonction "ou" a la valeur d'un "ou inclusif". Ainsi, un énoncé est vrai si une combinaison des conditions, quelle qu'elle soit, est vraie.

Les formes verbales utilisées dans le présent document sont conformes à l'usage donné à l'Article 7 des Directives ISO/IEC, Partie 2. Pour les besoins du présent document:

– le verbe "devoir" signifie que la conformité à une exigence ou à un essai est obligatoire pour assurer la conformité au présent document;
– l'expression "il convient" signifie que la conformité à une exigence ou à un essai est recommandée, mais n'est pas obligatoire pour assurer la conformité au présent document;
– le verbe "pouvoir" est utilisé afin de décrire un moyen admissible pour assurer la conformité à exigence ou à un essai.

Lorsqu'un astérisque (*) est utilisé comme premier caractère devant un titre ou au début d'un titre d'alinéa ou de tableau, il indique l'existence de recommandations ou d'une justification à consulter à l'Annexe AA.

Une liste de toutes les parties des séries IEC 60601 et IEC 80601, publiées sous le titre général *Appareils électromédicaux*, se trouve sur le site web de l'IEC.

Le comité a décidé que le contenu de ce document ne sera pas modifié avant la date de stabilité indiquée sur le site web de l'IEC sous webstore.iec.ch dans les données relatives au document recherché. À cette date, le document sera

- reconduit,
- supprimé, ou
- révisé.

NOTE L'attention des utilisateurs du présent document est attirée sur le fait que les fabricants d'appareils et les organismes d'essai peuvent avoir besoin d'une période transitoire après la publication d'une nouvelle publication IEC, ou d'une publication amendée ou révisée, pour fabriquer des produits conformes aux nouvelles exigences et pour adapter leurs équipements aux nouveaux essais ou aux essais révisés. Les comités recommandent que le contenu de cette publication soit entériné au niveau national au plus tôt trois ans après la date de publication.

IMPORTANT – Le logo "colour inside" qui se trouve sur la page de couverture de ce document indique qu'il contient des couleurs qui sont considérées comme utiles à une bonne compréhension de son contenu. Les utilisateurs devraient, par conséquent, imprimer ce document en utilisant une imprimante couleur.

INTRODUCTION

Les exigences minimales de sécurité spécifiées dans le présent document sont considérées comme fournissant un degré pratique de sécurité pour le fonctionnement des APPAREILS D'HEMODIALYSE, d'HEMODIAFILTRATION et d'HEMOFILTRATION.

APPAREILS ÉLECTROMÉDICAUX –

Partie 2-16: Exigences particulières pour la sécurité de base et les performances essentielles des appareils d'hémodialyse, d'hémodiafiltration et d'hémofiltration

201.1 Domaine d'application, objet et normes connexes

L'Article 1 de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 s'applique, avec les exceptions suivantes:

201.1.1 * Domaine d'application

Remplacement:

La présente partie de l'IEC 60601 s'applique à la SECURITE DE BASE et aux PERFORMANCES ESSENTIELLES des APPAREILS D'HEMODIALYSE, d'HEMODIAFILTRATION et d'HEMOFILTRATION, désignés ci-après sous le terme d'APPAREILS D'HEMODIALYSE. Elle s'applique aux APPAREILS D'HEMODIALYSE destinés à être utilisés soit par le personnel médical, soit sous la surveillance d'experts médicaux, y compris les APPAREILS D'HEMODIALYSE mis en fonctionnement par le PATIENT, que les APPAREILS D'HEMODIALYSE soient utilisés dans un hôpital ou dans un environnement domestique.

Si un article ou un paragraphe est spécifiquement destiné à être applicable uniquement aux APPAREILS EM, ou uniquement aux SYSTEMES EM, le titre et le contenu de cet article ou de ce paragraphe l'indiquent. Si cela n'est pas le cas, l'article ou le paragraphe s'applique à la fois aux APPAREILS EM et aux SYSTEMES EM, selon le cas.

Le présent document ne prend pas en considération les informations spécifiques de sécurité du système de contrôle du LIQUIDE DE DIALYSE de l'APPAREIL D'HEMODIALYSE qui utilise la régénération du LIQUIDE DE DIALYSE ou des SYSTEMES DE TRANSMISSION CENTRALISES pour le LIQUIDE DE DIALYSE. Il prend cependant en considération les exigences spécifiques de sécurité de ces APPAREILS D'HEMODIALYSE relatives à la sécurité électrique et la sécurité du PATIENT.

Le présent document spécifie les exigences minimales de sécurité relatives aux APPAREILS D'HEMODIALYSE. Ces APPAREILS D'HEMODIALYSE sont destinés à être utilisés soit par le personnel médical, soit par le PATIENT, soit par d'autres personnes formées, sous surveillance médicale.

Le présent document s'applique à tous les APPAREILS EM destinés à fournir un traitement d'HEMODIALYSE, d'HEMODIAFILTRATION et d'HEMOFILTRATION à un PATIENT, indépendamment de la durée et du lieu de traitement.

Le cas échéant, le présent document s'applique aux parties correspondantes des APPAREILS EM destinés à d'autres traitements extracorporels de purification du sang.

Les exigences particulières du présent document ne s'appliquent pas aux:

– CIRCUITS EXTRACORPORELS (voir l'ISO 8637-2 [1][1]),

– DIALYSEURS (voir l'ISO 8637-1 [2]),

– CONCENTRES DE LIQUIDE DE DIALYSE (voir l'ISO 23500-4 [3]),

[1] Les chiffres entre crochets renvoient à la Bibliographie.

– sacs pour LIQUIDE DE DIALYSE préproduits,
– systèmes d'alimentation en EAU DE DIALYSE (voir l'ISO 23500-2 [4]),
– SYSTEMES DE TRANSMISSION CENTRALISES pour les CONCENTRES DE LIQUIDE DE DIALYSE (voir l'ISO 23500-4 [3]), décrits comme systèmes de mélange de concentré en vrac dans un centre de dialyse,
– appareils de DIALYSE PERITONEALE (voir l'IEC 60601-2-39 [5]).

201.1.2 Objet

Remplacement:

L'objet du présent document est d'établir des exigences pour la SECURITE DE BASE et les PERFORMANCES ESSENTIELLES des APPAREILS D'HEMODIALYSE.

201.1.3 Normes collatérales

Addition:

Le présent document fait référence aux normes collatérales applicables énumérées à l'Article 2 de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 et à l'Article 201.2 du présent document.

L'IEC 60601-1-2:2014 et l'IEC 60601-1-2:2014/AMD1:2020, l'IEC 60601-1-8:2006, l'IEC 60601-1-8:2006/AMD1:2012 et l'IEC 60601-1-8:2006/AMD2:2020, l'IEC 60601-1-10:2007, l'IEC 60601-1-10:2007/AMD1:2013 et l'IEC 60601-1-10:2007/AMD2:2020, l'IEC 60601-1-11:2015 et l'IEC 60601-1-11:2015/AMD1:2020 s'appliquent telles qu'elles sont modifiées dans les Articles 202, 208, 210 et 211.

L'IEC 60601-1-3 ne s'applique pas. L'IEC 60601-1-9:2007, l'IEC 60601-1-9:2007/AMD1:2013 et l'IEC 60601-1-9:2007/AMD2:2020 ne s'appliquent pas comme cela est indiqué à l'Article 209.

Toutes les autres normes collatérales publiées de la série IEC 60601-1 s'appliquent, telles que publiées.

201.1.4 Normes particulières

Remplacement:

Dans la série IEC 60601, des normes particulières peuvent modifier, remplacer ou supprimer des exigences contenues dans l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/ AMD2:2020 et dans les normes collatérales en fonction de l'APPAREIL EM concerné. Elles peuvent également ajouter des exigences supplémentaires pour la SECURITE DE BASE et les PERFORMANCES ESSENTIELLES.

Une exigence d'une norme particulière prévaut sur l'exigence correspondante de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020.

La numérotation des articles et des paragraphes du présent document correspond à celle de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 avec le préfixe "201" (par exemple, le 201.1 du présent document concerne le contenu de l'Article 1 de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020) ou à celle de la norme collatérale applicable avec le préfixe "20x", où x représente le ou les derniers chiffres du numéro de document de la norme collatérale (par exemple, le 202.4 du présent document concerne le contenu de l'Article 4 de la norme collatérale IEC 60601-1-2, le 203.4 du présent document concerne le contenu de l'Article 4 de la norme collatérale IEC 60601-1-3, etc.). Les modifications apportées au texte de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 sont précisées en utilisant les termes suivants:

"*Remplacement*" signifie que l'article ou le paragraphe de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 ou de la norme collatérale applicable est remplacé complètement par le texte du présent document.

"*Addition*" signifie que le texte du présent document vient s'ajouter aux exigences de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 ou de la norme collatérale applicable.

"*Amendement*" signifie que l'article ou le paragraphe de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 ou de la norme collatérale applicable est modifié comme cela est indiqué par le texte du présent document.

Les paragraphes, figures ou tableaux qui sont ajoutés à ceux de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 sont numérotés à partir de 201.101. Toutefois, en raison du fait que les définitions dans l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 sont numérotées de 3.1 à 3.154, les définitions qui sont ajoutées dans le présent document sont numérotées à partir de 201.3.201. Les annexes qui sont ajoutées sont notées AA, BB, etc., et les éléments qui sont ajoutés aa), bb), etc.

Les paragraphes, figures ou tableaux qui s'ajoutent à ceux d'une norme collatérale sont numérotés à partir de 20x, où "x" est le chiffre de la norme collatérale, par exemple 202 pour l'IEC 60601-1-2, 203 pour l'IEC 60601-1-3, etc.

L'expression "le présent document" est utilisée pour se référer à l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020, à toutes les normes collatérales applicables et à la présente norme particulière, considérés ensemble.

Lorsque le présent document ne comprend pas d'article ou de paragraphe correspondant, l'article ou le paragraphe de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 ou de la norme collatérale applicable, bien qu'il puisse être sans objet, s'applique sans modification; lorsqu'il est demandé qu'une partie quelconque de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 ou de la norme collatérale applicable, bien que potentiellement pertinente, ne s'applique pas, cela est expressément mentionné dans le présent document.

201.2 Références normatives

NOTE Une liste des références informatives est donnée dans la Bibliographie.

L'Article 2 de l'IEC 60601-1:2005, l'IEC 60601-1:2005/AMD1:2012 et l'IEC 60601-1:2005/AMD2:2020 s'applique, avec l'exception suivante:

Addition:

IEC 60601-1:2005, *Appareils électromédicaux – Partie 1: Exigences générales pour la sécurité de base et les performances essentielles*
IEC 60601-1:2005/AMD1:2012
IEC 60601-1:2005/AMD2:2020

IEC 60601-1-10:2007, *Appareils électromédicaux – Partie 1-10: Exigences générales pour la sécurité de base et les performances essentielles – Norme collatérale: Exigences pour le développement des régulateurs physiologiques en boucle fermée*
IEC 60601-1-10:2007/AMD1:2013
IEC 60601-1-10:2007/AMD2:2020

IEC 60601-1-11:2015, *Appareils électromédicaux – Partie 1-11: Exigences générales pour la sécurité de base et les performances essentielles – Norme collatérale: Exigences pour les appareils électromédicaux et les systèmes électromédicaux utilisés dans l'environnement des soins à domicile*
IEC 60601-1-11:2015/AMD1:2020

IEC 61672-1:2013, *Électroacoustique – Sonomètres – Partie 1: Spécifications*

ISO 3744:2010, *Acoustique – Détermination des niveaux de puissance acoustique et des niveaux d'énergie acoustique émis par les sources de bruit à partir de la pression acoustique – Méthodes d'expertise pour des conditions approchant celles du champ libre sur plan réfléchissant*

ISO 23500-3:2024, *Préparation et management de la qualité des liquides d'hémodialyse et de thérapies annexes – Partie 3: Eau pour hémodialyse et thérapies apparentées*